

Personal Questionnaire for the purpose of monitoring funds and the prevention of money laundering as required by law 188(l)/2007 for natural persons wishing to transact through Rikkos Mappourides & Associates LLC

(If insufficient space is provided, please attach a separate sheet of paper.)

1	Surname: Forename(s): Any previous name(s) by which you have been known: Gender (Male/Female):	
2	Nature of the transaction which you intend to carry out and for which you will use the services of our firm (e.g. purchase of shares, purchase of property, investment in AIF etc.) Please provide as detailed a description as possible.	
3	<u>Contact Details</u> Telephone Number Alternative Telephone Number Email Address Mailing Address Business address	
4	Principal residential address*: *A proof of your place of residence is necessary (any utility bill will suffice- cannot be a telephone bill.)	
5	Profession/Occupation: Name and Address of Employer	
6	Date of Birth: Place of birth (including town, state and country).	
7	Nationality:	

Initials _____

8	Id or Passport number: Country of issue: Date of expiration: *A certified copy of your passport may be required	
9	Please provide a specimen of your Signature and Initials and also your full name handwritten:	
10	Have you at any time been convicted of any offence punishable by imprisonment of more than one (1) month or been subject to sanctions and/or fine by a judicial, government, professional or other regulatory body? If so, give full particulars: *In addition, the convictions which are time-barred/restituted	Date of conviction: Duration: Date which the conviction has become spent: Duration/ type of fine/sanctions
11	In carrying out your duties will you be acting on the directions or instructions of any other person? If so, give full particulars of such person and the nature of their involvement.	
12	Are you, or a person closely related to you, currently or during the past year, entrusted with a prominent public function which can fall into the meaning of Politically Exposed Person or as this is defined within the Prevention and Suppression of Money Laundering Activities Law of 2007, 188(I)/2007. If so, please give full particulars.	
13	Please state the source of your any kind of wealth which may affect the transaction you are willing to make (e.g. inheritance, employment, investments etc.) *Rikkos Mappourides & Associates LLC reserves the right to ask for verification regarding the source of wealth,	

I,CONFIRM that the above information is complete and correct to the best of my knowledge and belief and I undertake that, until the completion of the requested services I will promptly notify Rikkos Mappourides & Associates LLC of any material changes affecting the correctness of the answers to these questions. I acknowledge that if any of the information given above is inaccurate or financial transactions may be deemed suspicious, I may face investigations for money laundering and financing of terrorism, by the public and legal authorities. Hereby, I declare my consent for the reasons stated in Privacy Policy (which can be found in our webpage); Rikkos Mappourides & Associates LLC

can share my personal information with the public and legal authorities, where it is required due to legal obligations and where it may be required for investigations of money laundering and financing of terrorism.

Date: _____

Signed: _____

Each person completing this Appendix must provide the documents mentioned in Appendix 3.

Appendix 1: Sample Bank Reference-

To be submitted for each Shareholder (Registered or Beneficial)

Please **fax a copy** to +357 22 029 358 and send the original to Ilioupoleos 7, Nicosia, 1101 Cyprus. Facsimile and scanned copies are only acceptable on an interim basis until the original is received within a reasonable timeframe.

[On letterhead of bank providing reference]

[Date]

To: Rikkos Mappourides & Associates LLC,
Ilioupoleos 7,
1101 Nicosia
Cyprus

Dear Sirs,

[name of individual]

This is to confirm that according to our records the above mentioned individual, whose current address is **[address]**, has been a client of this bank for **[number]** years and is in good financial standing with us.

I confirm that **[name of bank]** is a bank regulated in **[name of jurisdiction]** and that I am duly authorised to provide this letter to you.

Yours faithfully

[Name]

[Title/position]

Appendix 2: Specimen Signature

Each person completing this questionnaire must sign this page and have such signature certified by a public notary or equivalent, Cypriot embassies or consulates or well known banks.

Name	Signature	Certified By
_____	_____	_____
_____	_____	_____
_____	_____	_____

Appendix 3: Documents Required

- Personal Questionnaire
- Certified copy of Passport (the certification can be by a public notary or equivalent, Cypriot embassies or consulates, well known banks).
- Proof of residential address (usually by means of a copy of a utility bill or bank statement, certified as a true copy) – cannot be a telephone bill.
- Signature sample (Appendix 2)
- Letter of Reference from a bank (see attached specimen in Appendix 1)

Appendix 7: Documents for Legal Persons

DOCUMENTS FOR REGISTERED DIRECTORS, SHAREHOLDERS, SECRETARY, BENEFICIAL OWNERS, TRUSTEES – LEGAL PERSON

- Memorandum and Articles of Association
- Group Structure leading to the ultimate beneficial owner.
- Corporate documents – If the Directors and/or Shareholders cannot be identified from these documents, then a list of the Directors and/or Shareholders is required.
- Latest set of accounts

The above documents should be the originals as issued by the Registrar of Companies or equivalent regulatory authority or certified true copies.